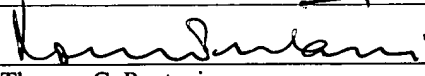


| | | | | | |
|---|--|---|--|--|--|
| FORM PTO-1390 (REV 10-94) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | DOCKET #: 502901-198PUS | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | |
| | | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/529675 | |
| INTERNATIONAL APPLICATION NO. PCT/DE2003/002886 | | INTERNATIONAL FILING DATE 1 September 2003 | | PRIORITY DATE CLAIMED 30 September 2002 | |
| TITLE OF INVENTION Illumination Device for Backlighting an Image Reproduction Device | | | | | |
| APPLICANT(S) FOR DO/EO/US Georg BOGNER; Patrick KROMOTIS; Ralf MAYER; Heinrich NOLL Hainsacker, Germany; Penang, Germany; Bolanden, Germany; Groß-Umstadt, Germany | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input checked="" type="checkbox"/> A translation of the amended pages of the specification annexed to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| Items 11. to 16. Below concern other document(s) or information included: | | | | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information (<i>specify</i>): PCT Publication Sheet, Int'l Preliminary Examination Report, Int'l Search Report | | | | | |

| | | | | | |
|--|--------------|---|--|--|----------------|
| U.S. APPLICATION NO. (U.S. No. 37 C.F.R. 1.53) 107529675 | | INTERNATIONAL APPLICATION NO. PCT/DE2003/002886 | | ATTORNEY'S DOCKET NUMBER 502901-198PUS | |
| 17.[x]The following fees are submitted: | | | | | |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)) | | | \$300 | | |
| National Stage Search Fee | | | \$500 | | |
| National Stage Examination Fee | | | \$200 | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$ | 1000 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 23 - 20 = | 3 | x \$50.00 | \$ | 150 |
| Independent Claims | 1 - 3 = | 0 | x \$200.00 | \$ | |
| Multiple dependent claim(s) (if applicable) | | | + \$360.00 | \$ | |
| Application Size Fee - for each add 50 sheets in excess of 100 sheets + \$250.00 | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 1,150 |
| Reduction of 1/2 for filing by small entity, if applicable. | | | | \$ | |
| SUBTOTAL = | | | | \$ | 1,150 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| | | | | | |
| TOTAL FEES ENCLOSED | | | | | \$1,150 |
| | | | | Amount to be refunded: | \$ |
| | | | | charged: | \$ |
| <p>a. <input checked="" type="checkbox"/> One check in the amount of \$1,150.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>03-2412</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2412</u>. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | | | |
| SEND ALL CORRESPONDENCE TO: <u>Thomas C. Pontani</u> Cohen, Pontani, Lieberman & Pavane 551 Fifth Avenue, Suite 1210 New York, New York 10176 | | |  <u>Thomas C. Pontani</u> Registration Number: <u>29,763</u> March 29, 2005 Tel: (212) 687-2770 | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of
Georg BOGNER et al.
Int'l PCT Appln. No.: PCT/DE2003/002886
Int'l Filing Date: 1 September 2003
For: Illumination Device for
Backlighting an Image
Reproduction Device

Check box if applicable:

☐ DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME**

Submit an original and a duplicate for fee processing


Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03-2412

- ☒ Any filing fees required under 37 CFR §1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- ☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By 
Thomas C. Pontani
Reg. No. 29,763
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

Dated: March 29, 2005

Attorney Docket # 502901-198PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Georg BOGNER et al.

Serial No.: 10/529,675

Filed: 29 March 2005

For: Illumination Device for Backlighting an
Image Reproduction Device

COMPLETION OF PATENT APPLICATION

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:


In order to complete the filing of missing parts for the above-identified application,
applicants submit herewith the following:

- ☒ Executed Declaration and Power of Attorney
- ☒ Check in the amount of \$170.00 to cover the late filing of the declaration and the recordation fee (where applicable)
- ☒ Assignment of the invention to **Siemens Aktiengesellschaft**
- ☒ Recordation cover sheet - PTO Form 1595
- ☒ According to our records, we have not yet received form PCT/DOE0905.

If there are any additional fees that may be required at this time, the same may also be charged to Deposit Account No. 03-2412.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: _____


Alfred W. Froebrich

Reg. No. 38,887

551 Fifth Avenue

New York, New York 10176

(212) 687-2770

Dated: 28 April 2005